

# Youth Justice Partnership Board Report

**Date** 2<sup>nd</sup> November 2020  
**Title** Report on the HMIP Style Case Audit of Out of Court Disposals (OOCDC)  
 (Q2 2020-21)  
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## 1. Why is this report here?

- To update the YJPB on the findings of the Q2 2020-21 HMIP Style Case Audit of Out of Court Disposals completed by Derby Youth Offending Service.

## 2. Summary

### 2.1 Context

- Each quarter, the Derby YOS Management Team complete an HMIP Style Case Audit on one of three themes that are in a repeating pattern (Custody Court Disposals > Out of Court Disposals > Community Court Disposals). A detailed report is written to indicate the data, results, findings, key learning and next steps in each audit. This YJPB report is a brief summary of that report.

### 2.2 Method & Sample

- 7 cases that were open to Derby YOS for at least 3 months and which commenced between 1<sup>st</sup> July 2019 and 30<sup>th</sup> December 2019 were randomly selected
- Due to the necessary prioritisation of essential operational tasks, only 5 audits were completed.

### 2.3 Key Findings

Refer to Appendix Di for Key Findings

### 2.4 Key Learning

- The quality of case work relating to OOCDC continues to improve across all domains since the Full HMIP Inspection of Derby YOS in June 2018, with the exception of Joint Working where a failure to transfer OOCDC records onto the ChildView CMS resulted in full points not being awarded across a number of cases.
- The quality of case management of OOCDC appears to have plateaued since the April 2019 audit. For the past 3 audits, all relate standards have scored a rating of 'Good' or higher, with some fluctuations in quality between audits that may be explained by the small audit size and consequent over-reliance on a small number of cases.
- There is greater consistency in the quality of case management for OOCDCs in the standards for Implementation & Delivery and Joint Working than in Assessment or Planning. Through the course of completing these audits, Case Managers whose casework is below the curve for the rest of the YOS have been identified.
- The single greatest contributor to low scores in this audit was the failure to complete and/or evidence work on AssetPlus.

	<p>5) Auditor competence is continually growing and developing which may be resulting in auditors having more confidence to assert that the relevant evidence cannot be located and thereby giving 'No' or 'Partly' responses when they may have previously given a 'Yes' response.</p>
2.5	<p><b><u>Next Steps</u></b></p> <ol style="list-style-type: none"> <li>1) Line Managers will raise poor compliance with NS expectations for the completion of Assessment and Planning work with Case Managers that have been identified as making no significant improvement. Personal Development actions will be agreed with the Case Managers and this will be reviewed after 6 months. CMs will be consulted as to what, if any, training or support they require improve their practice. Failure to demonstrate improvement will result in referral to formal disciplinary processes, subject to consultation and approval from HR.</li> <li>2) An AssetPlus Refresher Training session, based around the stated needs of Case Managers and/or their Line Managers will be delivered before the end of Qtr3 2020-21. This will support step 1 in ensuring that all workers have the opportunity to develop their practice in a safe and non-judgemental environment.</li> <li>3) O OCD Lead Grant Hassall will address the recording processes for O O CDCs with Business Support Services so that Case Managers are better supported in evidencing the decision-making process and appropriateness of the YCC intervention issued in each case.</li> </ol>

### 3. What are the expected outcomes for children and young people and how will they be monitored?

3.1	<ul style="list-style-type: none"> <li>• The expectation is that, in accordance with YJB Case Management Protocols and Guidance and the National Minimum Standards for Youth Justice work, that, in all pre- and post-court cases, there must be a written assessment and a written plan which must be reviewed in accordance with clear expectations (at least every 12 months of when there is a significant change in circumstances for assessments and at least every 3 months for plans) and which must inform the delivery of services and interventions for the benefit of the service-user.</li> <li>• The expected outcomes above are monitored through quarterly National Standards audits and quarterly performance data for all cases and for all Case Managers.</li> </ul>
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### 4. What is being asked?

4.1	<p><b>What do you want this Board to do as a group?</b></p>
	<ul style="list-style-type: none"> <li>• Note the findings of this report and thereby be aware of the state of play regarding Case Management of Out Of Court Disposals across Derby YOS.</li> <li>• Scrutinize the HMIP Style Case Audit activity and its findings.</li> <li>• Provide constructive feedback that informs and develops Derby YOS' Quality Assurance Strategy, which includes HMIP Style Case Audit activities.</li> </ul>

4.2	<b>What do you want individual partner agencies to do?</b>
	<ul style="list-style-type: none"><li>• Nothing is required of partner agencies individually</li></ul>

<b>Background Papers and Appendices</b> (list as appropriate)